

## AMIN WASSERMAN GURNANI

#### Chicago | Washington D.C. | Los Angeles





#### Who We Are, Who We Help and What We Do

Amin Wasserman Gurnani is a leading regulatory, intellectual property, and litigation boutique law firm strategically located in Chicago, Washington, DC, and Los Angeles. Our expertise spans industries and categories, particularly the food, beverage, dietary supplement, cosmetic, drug, and medical device sectors. Our clients, a distinguished roster of innovators, entrepreneurs, and world-class brands, are a testament to our in-depth understanding and proficiency in these areas.

Our business is guiding your business through the entire lifecycle of your products, from development to clinical trials and regulatory approvals to trademark, patent, and trade secret protection. We assist with import issues and the creation of effective and compliant advertising and labeling. We have a proven track record in defending against challenges relating to intellectual property, supply chain, consumer class actions, and business litigation. Finally, we have extensive experience guiding buyers and sellers through due diligence, mergers, acquisitions, and investments.

Our unwavering commitment is to consistently deliver top-tier results and maintain the highest levels of client satisfaction. We aim to foster enduring relationships with our clients, ensuring they feel supported and valued throughout their journey with us.

Learn more about us <u>here</u>.

#### The Team

Our team is united by a shared dedication to our clients and a deep passion for the industries we serve.

Many on our team have advanced degrees in food science, human nutrition, chemistry, biomedical engineering, pharmacy, and biomedical science. Several lawyers at our firm served in judicial or industry-leading positions before joining us, including as federal prosecutor and as Counsel to The Council for Responsible Nutrition.

We have distinct yet collaborative groups specializing in regulatory, intellectual property, and litigation matters. Each group is led by highly experienced professionals, ensuring depth and expertise in each area.

Under the leadership of our loveable Managing Partner, <u>Ivan</u> <u>Wasserman</u>, our firm has flourished. His strategic vision and our love for what we do have guided us to where we are today.



#### Primary Areas of Practice

- FDA Compliance
- FTC, NAD and State Advertising Compliance
- Government Investigations and Litigation
- Global Patent and Trade Secret Protection & Enforcement
- Global Trademark and Copyright Protection & Enforcement
- Trial Practice, Litigation & Dispute Resolution

- Consumer Class Actions
- California Proposition 65
- Intellectual Property and Advertising Litigation
- Supply Chain, Technology & Commercial Litigation
- E-Commerce & Online Marketplace Disputes
- Due Diligence



#### FDA Compliance for Food, Beverage, Dietary Supplement, Cosmetic and Drug Products

With our unique practice areas and attorney backgrounds, we bring a highly strategic and multifaceted approach to meeting the needs of FDAregulated businesses. We offer industry-leading, comprehensive guidance that ensures our clients' products comply with all aspects of FDA, USDA, and state regulations, including:

- Ingredient reviews
- **GRAS/NDI** analyses and submissions
- Label and website reviews
- Claim substantiation
- FDA facility registration
- GMP and FSMA requirements

- OTC monograph, homeopathic compliance
- Center for Veterinary Medicine and AAFCO counseling for animal feed safety and pet foods
- Warning letters, recall and inspection responses and import detentions

Team: <u>Abhishek Gurnani</u>, <u>Ivan Wasserman</u>, <u>Jacqueline Kuler</u>, <u>Rend Al-Mondhiry</u>, <u>Angela</u> <u>Diesch</u>, <u>Evan Phelps</u>, <u>Jennifer Adams</u>, <u>Lauren Aronson</u>, <u>Karmina Fefferman</u>, <u>Xin Miao</u>, <u>Jana</u> <u>Caracciolo Perez</u>, and <u>Rakesh Amin</u>.

For more information, please see FDA Compliance.

#### FDA Compliance for Medical Devices

Our team of nationally known FDA lawyers guides clients through every aspect of the highly regulated, complex, and evolving medical device legal and regulatory landscape, including:

- Premarket Approval Applications (PMAs)
- Premarket Notifications (510(k)s)
- Investigational Device Exemption (IDE) Applications
- Quality System Regulation (QSR)
- Medical Device Reporting (MDR) Regulations
- Labeling
- Advertising
- Supply Chain and IT Agreements
- Inspections
- Recalls
- Government, Competitor and Consumer Disputes

Team: <u>Neil O'Flaherty</u>, <u>Abhishek Gurnani</u>, <u>Evan Phelps</u>, <u>Karmina Fefferman</u>, <u>Peter</u> <u>Paredes</u> and <u>George Spatz</u>.

For more information, please see Medical Device Compliance.



#### FTC, NAD and State Advertising Compliance

Our top-ranked advertising team evaluates scientific evidence for competence and reliability to ensure our clients' express and implied claims are truthful and not misleading. Our attorneys, highly versed in the technical and scientific issues underlying claim substantiation, work closely with our client's legal, marketing, and research teams on the design of product claims and the oversight and testing required to support them.

Clients tell us our expertise in substantiation and creative approaches to developing compliant advertising campaigns are unparalleled, and our ability to help them navigate the complex compliance landscape across all media and platforms is unmatched.

Team: <u>Lauren Aronson</u>, <u>Ivan Wasserman</u>, <u>Abhishek Gurnani</u>, <u>Rend</u> <u>Al-Mondhiry</u>, <u>Jacqueline Kuler</u>, <u>Angela Diesch</u>, <u>Jonathan Krit</u>, <u>Jennifer Adams</u>, and <u>Karmina Fefferman</u>.

For more information, please see <u>FTC & State Advertising</u> <u>Compliance.</u>

# Government Investigation and Litigation

At Amin Wasserman Gurnani, we understand that our client's financial and business interests are paramount in any government investigation or litigation.

Our highly skilled and dedicated team is unwavering in our commitment to seeking resolutions expeditiously and advantageously, whether through negotiated settlements or, when necessary, actual litigation.

- Our lawyers have extensive experience handling matters before the FDA and FTC.
- We handle investigations and defend enforcement actions initiated by state attorneys general and state health departments.
- We defend disputes specific to state laws, such as Proposition 65.
- We create strategies to help deter or mitigate the risk of enforcement actions and litigation.
- We help our clients design and implement best-in-class compliance practices.

Team: <u>William Cole</u>, <u>Lauren Aronson</u>, <u>Abhishek Gurnani</u>, <u>Ivan Wasserman</u>, <u>Matthew Orr</u>, <u>George</u> <u>Spatz</u>, <u>Jennifer Adams</u>, <u>Manon Burns</u>, <u>Rend Al-Mondhiry</u>, <u>Neil O'Flaherty</u>, <u>Angela Diesch</u> and <u>Evan</u> <u>Phelps</u>.

For more information, please see Government Investigations.



#### Global Patent and Trade Secret Protection & Enforcement

The difference between a patent and a trade secret for your invention or product is critical.

We conduct searches and evaluate invention disclosures to assess patentability versus trade secret protection and then prepare and file U.S. and foreign patent applications.

We provide opinion letters related to freedom-to-operate issues, noninfringement and validity/invalidity, and we provide strategic IP counseling related to trade secret protection, infringement risk analysis, mitigation, patent design-around and maintenance and review of IP portfolios.

In *Merck v. Gnosis*, our litigators broke new ground with the first successful invalidation of pharmaceutical and supplement patents through the *inter partes* review process. This achievement, one of many, underscores our firm's capabilities and commitment to delivering exceptional results.

Team: <u>Peter Paredes</u>, <u>George Carrera, Jr., William Cole</u>, <u>Jonathan Krit</u>, <u>George Spatz</u>, <u>Manon Burns</u>, and <u>Rakesh Amin</u>.

For more information, please see Patent & Trade Secret Protection.

#### Global Trademark and Copyright Protection & Enforcement

Our trademark services span the entire spectrum, encompassing portfolio planning, clearance, and registration. This includes conducting searches, preparing applications, managing prosecution and post-registration maintenance, handling policing, contested matters, and dispute resolution.

Our expertise extends to advising on intricate gray-market, counterfeit goods, and domain name cybersquatting issues, ensuring our clients are always one step ahead.

We send and respond to cease and desist demands and litigate the likelihood of confusion and infringement issues in courts before the Trademark Trial and Appeal Board in opposition and cancellation proceedings on e-commerce platforms, such as Amazon IP, and at the National Advertising Division.

Our lawyers handle the entire process of filing copyright applications with the Library of Congress for federal protection. This includes preparing the necessary documentation, submitting the application, and following up to ensure our clients' original works of authorship are fully protected.

Team<u>: Ryan Kaiser, George Spatz</u>, <u>Peter Paredes</u>, <u>Jonathan Krit</u>, <u>Lauren Aronson, Manon Burns</u>, <u>Ashley Rovner-Watson</u>, and <u>Rakesh Amin</u>.

For more information, please see <u>Trademark & Copyright Protection</u>.



## Trial Practice, Litigation & Dispute Resolution

We represent clients in lawsuits and other disputes in courts and various tribunals across the country. In each case, our primary goal is to achieve the best possible result for our client, whether by winning in court or obtaining a favorable settlement.

Our attorneys are adept at resolving disputes through pre-dispute negotiation, a proactive approach that aims to find mutually beneficial solutions before they escalate. When it comes time to fight, we bring an efficient, well-honed approach to enforcing or defending our clients' rights. At the outset of a potential dispute, we draw on our collective and in-depth industry-specific knowledge of issues around labeling, advertising, intellectual property, contracts, and supply chain to provide a prompt assessment and craft a pragmatic and focused strategy in line with our client's objectives.

Our goal is to contain and extinguish disputes expeditiously, whether inside or outside the courtroom, and our record of prevailing on dispositive judgments is second to none.

Team: <u>George Spatz</u>, <u>William Cole</u>, <u>Matthew Orr, Ryan Kaiser</u>, <u>Jonathan Krit</u>, <u>Richard Hyde</u>, <u>Manon Burns</u>, <u>Jennifer Adams</u>, <u>Abhishek Gurnani</u>, <u>Angela</u> <u>Diesch</u> and <u>Lauren Aronson</u>.

For more information, please see **<u>Trial Practice & Litigation</u>**.

### Consumer Class Actions

As class actions in FDA-regulated industries have increased, our team's unique multidisciplinary approach, which combines regulatory and scientific savvy with expertise in litigation and trials, has consistently proven to be a reassuring asset for our clients.

Our consumer class action team works seamlessly with our FDA and advertising compliance attorneys to guide clients through the class action minefield. We anticipate threats to clients' reputations, brand quality, and product identity. When it becomes necessary to defend against a class action, we develop and execute creative and aggressive strategies to defeat class certification and claims of damages, unwaveringly protecting our client's interests.

Team: <u>Matthew Orr</u>, <u>William Cole, George Spatz</u>, <u>Manon Burns</u>, <u>Jennifer Adams</u>, <u>Abhishek Gurnani</u>, <u>Rend Al-Mondhiry</u>, <u>Ivan Wasserman</u>, <u>Angela Diesch</u>, <u>Ryan Kaiser</u> and <u>Lauren Aronson</u>.

For more information, please see <u>Consumer Class Actions</u>.





## California Proposition 65

Proposition 65 compliance is crucial for businesses to avoid significant consequences. Our team, with its specialized knowledge and practical solutions, can help you navigate this complex landscape. We offer assistance with warning and labeling requirements, develop laboratory testing programs to evaluate your products for heavy metal and chemical exposure and provide expert defense against Prop 65 disputes. By partnering with us, you can ensure your business stays on the right side of the law and avoids significant consequences.

Our team has a proven track record of successfully defending Prop 65 disputes against the California attorney general's office and bounty hunters. We use toxicologist exposure assessments to obtain leverage and ensure successful close-outs of challenges and reduced settlement demands.

Team: <u>Matthew Orr</u>, <u>William Cole</u>, <u>Abhishek Gurnani</u>, <u>Jennifer Adams</u>, <u>Richard Hyde</u>, <u>George Spatz</u>, <u>Angela Diesch</u> and <u>Rakesh Amin</u>.

For more information, please see <u>Proposition 65</u>.

#### Intellectual Property and Advertising Litigation

Speed makes all the difference when it comes to understanding the essence of a challenge or dispute. With lawyers solely focused on IP litigation and who work alongside our substantive and industry experts, we quickly master the nuances and find solutions our competitors might miss.

Our clients benefit from a lean and highly skilled team of intellectual property litigators whose experience spans the full range of IP disputes: patent, trademark, copyright, false advertising, and trade secret.

Our approach is creative and tenacious, insightful and pragmatic. We analyze and discuss a potential array of responses from all-out battle to calculated fallback, and we work hand in hand with clients to resolve their most complex and high-stakes intellectual property disputes.

Team: <u>George Spatz</u>, <u>Ryan Kaiser</u>, <u>William Cole</u>, <u>Jonathan</u> <u>Krit</u>, <u>Matthew Orr</u>, <u>Peter Paredes</u>, <u>Manon Burns</u>, <u>Richard</u> <u>Hyde</u>, <u>Ivan Wasserman</u>, <u>Lauren Aronson</u>, <u>Jennifer Adams</u>, and <u>Rakesh Amin</u>.





# Supply Chain, Technology & Commercial Litigation

Many of our clients are grappling with supplier issues and re-evaluating their contracts to ensure continued supplies going forward. Our team of highly experienced lawyers have a proven track record in handling supply chain disputes (sourcing, manufacturing, and distributing products and materials) and disputes involving information technology procurement, development, and outsourcing. We work closely with clients to find solutions for disruptions and any resulting contractual breaches.

Our extensive experience in this area covers a wide range of commercial contract claims, including claims of a material breach of contractual obligations, breach of warranty, failure to timely deliver or meet milestones, failure to adhere to agreements and payment resolution, and customer and vendor-side information technology and software disputes, such as disputes regarding the acquisition, development, implementation, outsourcing, licensing, and use of technology. No matter the complexity of your dispute, we are equipped to handle it.

Team: <u>George Spatz</u>, <u>William Cole</u>, <u>Matthew Orr</u>, <u>Ryan Kaiser</u>, <u>Jonathan Krit</u>, <u>Richard Hyde</u>, <u>Manon Burns</u>, <u>Rakesh Amin</u>, <u>Abhishek Gurnani</u> and <u>Peter</u> <u>Paredes</u>.

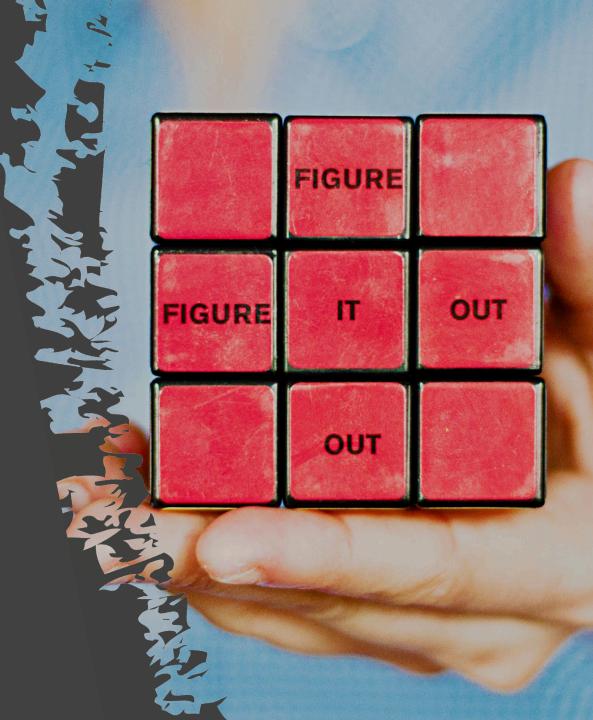
For more information, please see <u>Supply Chain & Technology Disputes</u>.

#### E-commerce & Online Marketplace Disputes

The rise of the online marketplace model is unstoppable and impacts businesses of all kinds. Although most online marketplaces make it easy for brands and retailers to advertise, sell, and fulfill, disputes routinely arise. When they do, clients know they can turn to our litigators to resolve them.

Whether a dispute involves a particular platform, such as Amazon, centers on issues between buyers and sellers, calls for copyright, trademark, counterfeiting, or false advertising takedowns, or is related to hacking, our team is adept at developing creative, practical, and cost-effective solutions, adapting to the unique needs of each case.

Team: <u>George Spatz</u>, <u>Ryan Kaiser</u>, <u>William Cole</u>, <u>Matthew Orr</u>, <u>Jonathan Krit</u>, <u>Richard Hyde</u>, <u>Manon Burns</u> and <u>Lauren</u> <u>Aronson</u>.





### Due Diligence

At our firm, we understand that one size does not fit all. That's why we pride ourselves on our ability to customize all due diligence projects to your specific transaction, ensuring your unique needs are met.

Our team has extensive experience working with large brands, corporate law firms, and investment companies. We are highly sought after when it comes to the following:

- Conducting regulatory, intellectual property, and litigation due diligence assessments on targets before acquisition or investment
- Evaluating regulatory compliance, trademark, and patent portfolios
- Producing timely, productive, and efficient reviews and reporting based on specific client needs
- Assisting with representations, warranties, and disclosure schedules in transactions

Team<u>: Ivan Wasserman</u>, <u>Lauren Aronson</u>, <u>Abhishek Gurnani</u>, <u>Neil</u> O'Flaherty, <u>Evan Phelps</u>, <u>Ryan Kaiser</u>, <u>Jonathan Krit</u>, <u>Rend Al-Mondhiry</u>, <u>Jennifer Adams</u>, <u>Peter Paredes</u>, <u>Matthew Orr</u> and <u>Rakesh Amin</u>.



Jennifer Adams jadams@awglaw.com



Rend Al-Mondhiry rend@awglaw.com



George M. Carrera, Jr. gcarrera@awglaw.com



<u>Rakesh Amin</u> ramin@awglaw.com



William Cole wcole@awglaw.com

**Richard Hyde** 

rhyde@awglaw.com



Lauren <u>Aronson</u> laronson@awglaw.com



Angela Diesch adiesch@awglaw.com



<u>Ryan Kaiser</u> rkaiser@awglaw.com



Andrew Baumann abaumann@awglaw.com



Karmina Fefferman kfefferman@awglaw.com



<u>Jonathan Krit</u> jkrit@awglaw.com



mburns@awglaw.com



Abhishek Gurnani agurnani@awglaw.com



Melanie Harrison mharrison@awglaw.com



Jacqueline Kuler jkuler@awglaw.com



Xin Mido xmiao@awglaw.com



<u>Neil O'Flaherty</u> noflaherty@awglaw.com



<u>Matthew Orr</u> morr@awglaw.com



Peter Paredes pparedes@awglaw.com



Jana Caracciolo Perez jperez@awglaw.com



Evan Phelps ephelps@awglaw.com



Ashley Rovner-Watson awatson@awglaw.com



<u>George Spatz</u> gspatz@awglaw.com



Ivan Wasserman iwasserman@awglaw.com







Chicago | Washington D.C. | Los Angeles

#### Please Contact Us to Learn More

#### **CHICAGO**

549 W. Randolph Street Suite 400 Chicago, IL 60661

**312.466.1033** 

#### WASHINGTON

5185 MacArthur Boulevard NW Suite 230 Washington, D.C. 20016

202.496.4966

#### LOS ANGELES

515 South Flower Street 18th Floor Los Angeles, CA 90071

**\$** 213.933.2330

## Thank You!



Chicago | Washington D.C. | Los Angeles